INTEGRATED
Advisors Network

CAPABILITIES GUIDE

Today’s most successful advisory firms leverage partnerships and technology to accomplish the operational needs to best serve their clients.

Integrated Advisors Network helps advisors go independent. What makes us different?

1. **OPEN.** We are open architecture. You choose your investments and service providers. So, you can run your own business as you see fit.
2. **FLEXIBLE.** There is no long-term contract.
3. **COMPLIANT.** Through affiliation with our sister firm, Compliance Works Inc. (CWI), we provide expert guidance as your CCO.
4. **QUICK.** With our team’s assistance, transitions typically take half the time.

Plus: We help you build equity in your business. You operate under your own business name.

Integrated Advisors Network is a SEC-Registered Investment Adviser offering a “supported independence” business model to advisors who want to run their own business without bearing all of the cost and responsibilities associated with doing so. Through our strategic business alliances, we provide an integrated back office technology and services platform that allows advisors to establish their personally branded investment adviser practice, while leveraging the benefits of shared cost. We have created a unique and flexible environment that supports advisors during and after their transition to independence, and allows them to do what they do best: service their clients. **Find out more about how Integrated Advisors Network can help define your future.**

Investment Advisory Services are offered through Integrated Advisors Network, LLC.

> At Integrated, our goal is to provide a platform to independent advisors that enhances the quality of service provided to their clients and allows them to build equity in their own practice.
>
> - Jeff Groves, *CEO and Partner*

When you join Integrated Advisors Network, you gain access to our back office and premium support service platform:

- Complete range of transition support services
- Quality review of custodian documents and account applications
- Assistance with proposal generation
- Assistance in preparation of customized client reporting
- Preparation of Investment Policy Statements and Investment Management Agreements

Our goal is to provide a platform to independent advisors that enhances your practice and delivers quality to your clients.

SERVICES PROVIDED – AT-A-GLANCE

Technology Service Package

- Virtual portal installed on client provided CPU/Laptop
- 25 GB electronic storage per client portal
- Email hosting (Client to provide domain)
- Single sign-on technology
- 24/7 IT support
- Back-up and cyber protection

Full Compliance Program

- Dedicated Chief Compliance Officer
- Compliance documentation and processes
- Compliance staff to perform all but individual compliance responsibilities
- Administration of regulatory audits
- Due diligence and approval of third-party managers and solicitor agreements

Comprehensive Billing & Reporting

- Initiation and reconciliation of fees on a monthly, quarterly or annual basis
- Fully customizable client reports

We provide advisors with the tools and resources they need so they can focus on what they do best- managing clients' accounts.

- Linda Pix, *Managing Member and Director of Advisor Services*

Centralized Trading Platform

- Cashiering transactions
- Model rebalancing
- Vacation coverage
- Platform for trades away from custodian,specialty trading, or reporting needs (may incur extra fee on a case by case basis)

Choice of Custodial Platforms

- Leverage Integrated Advisors Network negotiated pricing
- Access to proprietary technology
- Access to institutional trading desk
- Access to transition specialists and dedicated service teams

Other Services

- Provision of Errors and Omissions Insurance
- Access to practice management consulting services
- Access to marketing and media consultant services
- Access to succession planning services

LEARN MORE ABOUT OUR BUSINESS PARTNER PROVIDERS:

Envestnet provides unified wealth management technology and services to financial advisors and institutions.

Tamarac is a leading provider of integrated, web-based portfolio rebalancing, performance reporting and customer relationship management software for independent advisors and wealth manage

Workplace by OS33 is the leading SaaS platform for compliance and productivity enablement in the wealth management industry.

Global Relay is a technology services company providing software-as-a-service electronic message archiving, instant messaging, and compliance and supervision solutions with a focus on highly regulated industries.

GoToMeeting web conferencing software makes it simple and cost-effective to collaborate online with colleagues and customers in real time.

Riskalyze enables advisors to pinpoint an investor's Risk Number and build a portfolio that contains just the right amount of risk.

> Our unique and flexible environment supports you before, during, and after your transition to independence, to allow you to do what you do best: service your clients.
>
> - Michael Young, *President*

THE BOTTOM LINE

We never lose sight of the fact that it's all about growing your business, which is why leading advisors choose us to grow the business they have always wanted. Integrated Advisors Network offers scalable resources through a dedicated partnership, enabling financial advisors to enhance their investment offerings, attract new clients and accelerate business growth with institutional-quality investment products.

SERVING THE INDUSTRY

We have years of experience and a deep commitment to the independent advisor community. We are always open to partnering with other best-in-class product and service providers to improve productivity and efficiency for the firms we support. If you believe there may be synergies between our firms, let's have a conversation.

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